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JAP6 Rec'd PCT/PTO 05 JUN 2006

| Rractitioner's Docket No. <u>U 015739-4</u> | PATENT |
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| in the united states PA | TENT AND TRADEMARK OFFICE |
| Satent application | |
| of | nventor(s) |
| | |
| forTitle | of invention |
| | OR |
| * * | ovich SHESTIBRATOV, et al |
| Serial No.: 10/531,769 | Group No.: |
| Filed: April 19, 2005 For: METHOD FRO PRODUCING A TRA | Examiner: |
| For: METHOD FRO PRODUCING A TRA AID OF AGROBACTERIUM TUME! | |
| Commissioner for Patents | |
| P. O. Box 1450 | |
| Alexandria, VA 22313-1450 | |
| TRANSMITTAL OF INFORM | IATION DISCLOSURE STATEMENT |
| | MONTHS OF FILING OR |
| BEFORE MAILING OF FIRST | Γ OFFICE ACTION (37 C.F.R. 1.97(b)) |
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| CERTIFICATION UN | • |
| (When using Express Mail the | NDER 37 C.F.R. 1.8(a) and 1.10* |
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| I hereby certify that, on the date shown below, this correst deposited with the United States Postal Service in an experience. | Express Mail label number is mandatory; certification is optional.) spondence is being: MAILING |
| Express Mail of I hereby certify that, on the date shown below, this correst deposited with the United States Postal Service in an Alexandria, VA 22313-1450. 37 C.F.R. 1.8(a) | Express Mail label number is mandatory; certification is optional.) spondence is being: MAILING envelope addressed to the Commissioner for Patents, P. O. Box 1 37 C.F.R. 1.10* |
| Express Mail of I hereby certify that, on the date shown below, this correst deposited with the United States Postal Service in an Alexandria, VA 22313-1450. 37 C.F.R. 1.8(a) | Express Mail label number is mandatory; certification is optional.) spondence is being: MAILING envelope addressed to the Commissioner for Patents, P. O. Box 1 37 C.F.R. 1.10* as "Express Mail Post Office to Addressee" |
| I hereby certify that, on the date shown below, this correst deposited with the United States Postal Service in an Alexandria, VA 22313-1450. 37 C.F.R. 1.8(a) ■ with sufficient postage as first class mail. | Express Mail label number is mandatory; certification is optional.) spondence is being: MAILING envelope addressed to the Commissioner for Patents, P. O. Box 1 37 C.F.R. 1.10* as "Express Mail Post Office to Addressee" Mailing Label No (mandate |
| I hereby certify that, on the date shown below, this correst deposited with the United States Postal Service in an Alexandria, VA 22313-1450. 37 C.F.R. 1.8(a) ■ with sufficient postage as first class mail. | Express Mail label number is mandatory; certification is optional.) spondence is being: MAILING envelope addressed to the Commissioner for Patents, P. O. Box 1 37 C.F.R. 1.10* as "Express Mail Post Office to Addressee" Mailing Label No (mandato |
| Express Mail of I hereby certify that, on the date shown below, this correst deposited with the United States Postal Service in an Alexandria, VA 22313-1450. 37 C.F.R. 1.8(a) With sufficient postage as first class mail. TRA | Express Mail label number is mandatory; certification is optional.) spondence is being: MAILING envelope addressed to the Commissioner for Patents, P. O. Box 1 37 C.F.R. 1.10* as "Express Mail Post Office to Addressee" Mailing Label No (mandata) |

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Date: June 1, 2006

(type or print name of person certifying)

NOTE: 37 C.F.R. 1.98(b):

(1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.

(2) Each U.S. patent application published listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.

(3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.

(4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.

Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.

(5) Each publication listed in an information disclosure statement must be identified by publisher, author (fi any), title, relevant pages of the publication,,date, and place of publication.

WARNING: No extension of time can be had under 37 C.F.R. § 1.36 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. I and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

If a fee is required, please charge deposit account 12-0425.

SIGNATURE OF PRACTITIONER

Reg. No. 33,778

Tel. No.: (212) 708-1935

Customer No.: 00140

JANET I. CORD

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP 26 West 61st Street New York, N.Y. 10023

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of: | Konstantin Aleksandrovich | SHESTIBRATOV, et al |
|-----------------------|---------------------------|---------------------|
|-----------------------|---------------------------|---------------------|

Serial No.: 10/531,769 Group No.:

Filed: April 19, 2005 Examiner:

METHOD FOR PRODUCING A TRANSGENIC PLANT WITH THE AID OF For:

AGROBACTERIUM TUMEFACIENS

Attorney Docket No.: U 015739-4

Commissioner for Patents

P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the attached English-language version of an Action or International-type Search Report from a foreign office in respect of counterpart PCT/RU2003/000439 that indicates the degree of relevance found by the foreign office. The Action or Search Report makes consideration of any non-English art required. MPEP 609.

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

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I hereby certify that, on the date shown below, this correspondence is being:

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| | | 37 C.F.R. 1.8(a) | | 37 C.F.R. 1.10 |) * |
| × | with suff | ficient postage as first class mail. | | as "Express Mail Post Office Mailing Label No. | e to Addressee" (mandatory) |
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| | transmit | ed by facsimile to the Patent and Trader | nark Office. (6 | (571)-273-8300 | |
| | | | Signat | ure | |
| Date: | June 1, | <u>2006</u> | Ja | net I. Cord | |
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"Since the filing of correspondence under \S 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

We also draw the atteniton of the Examiner to the attached references which are considered in the specification.

Form PTO-1449 is also attached with reference copies.

Respectfully submitted,

JANET I) CORD

C/O LADAS AND PARRY LLP 26 WEST 61ST STREET NEW YORK, NY 10023 REG. NO. 33,778; (212) 708-1935 FORM PTO-1449

U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

| ATTY. DOCKET NO. | SERIAL NO. |
|------------------|------------|
| U 015739-4 | 10/531,769 |
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

| ATTY. DOCKET NO. | SERIAL NO. |
|----------------------|---------------------------|
| U 015739-4 | 10/531,769 |
| AP | PLICANT |
| Konstantin Aleksandr | ovich SHESTIBRATOV et al. |
| FILING DATE | GROUP |
| DECEMBER 14, 2005 | |

| MARK | | FOREIG | N PATENT DOCUME | ENTS | | |
|------|----------|--------------------------|-------------------------|--------------------------|-------------|----|
| | D0CUMENT | | | | TRANSLATION | |
| | | NUMBER | DATE | COUNTRY | YES | NO |
| · | AA | 97109836 | 05/1999 | RU | х | |
| | | OTHER ART (Includ | ing Author, Title, Date | , Pertinent Dates, Etc.) | | |
| | AB | Partial English Translat | ion of RU 97109836 da | ated May 10, 1999 | | |

| | | NUMBER | DATE | COUNTRY | YES | NO |
|----------|----|--|-------------------------|--|-----------------|------------|
| | AA | 97109836 | 05/1999 | RU | х | |
| | | OTHER ART (Include | ling Author, Title, Dat | e, Pertinent Dates, Etc.) | | |
| | AB | Partial English Translat | tion of RU 97109836 o | dated May 10, 1999 | | _ |
| | AC | | | of Fragaria Vesca L. in Micropa 17ae 567: IV International Strawb | | |
| | AD | Mussinan C., et al. "Or J. Agric. Food Chem. (| | California Strawberries." op 482-484 | | |
| | AE | Murashige, T., et al. "A Cultures." <i>Physiologia</i> | | Rapid Growth and Bio Assays w . 15, pp 472-496 | vith Tobacco T | issue |
| | AF | | | ounds in Increasing Agrobacteriu de Cells." <i>Plant Cell Reports</i> (20 | | p 731-737 |
| | AG | | of Antioxidants during | erium-Mediated Transformation Grape-Agrobacterium Interactio -628 | | ape (Vitis |
| | АН | | | Protein from Maize with Membr 1990) Vol. 136, pp 1771-1778 | ane-Permeabil | izing |
| | AI | Rogers., S., et al. "Extra Plant Molecular Biolog | | r DNA from Plants, Algae and F o 1-8 | ungi." | |
| | AJ | | | rom Excised Cotyledons of Pinu International Meeting, PW3-09 | s Radiata." | |
| | AK | | nprovement by Introdu | of Strawberry cv Firework for E action of thauII and rs-afp3 Gene of the III (2002) | | se |
| | AL | Stintzi, A., et al. "Plant Biochimie (1993) Vol. | | Proteins and Their Role in Defe | ense Against Pa | ithogens." |
| | AM | Sutter, E., et al. "Direct Hort. Biotech. In Vitro | | vberry (Fragaria X Ananassa DU 997) pp 243-245 | CH.) from Lea | of Disks." |
| | AN | Szwacka, M., et al. "Va Gene from Thaumatoco ACTA Physiologiae Pla | occus daniellii." | ransgenic Cucumber Plants Conta 4, No. 2, pp 173-185 | aining the Thau | ımatin II |
| | АО | | | ransformed Plants: Transformation Transformed Plants: Transformation Transfo | | |
| | AP | | | evelopment of a New vector Syspectic Engineering of Plants (199 | | |
| EXAMINER | | <u> </u> | DATE | CONSIDERED | | |
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EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

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| AP | PLICANT |
| Konstantin Aleksandro | ovich SHESTIBRATOV et al. |
| FILING DATE | GROUP |
| DECEMBER 14, 2005 | |

| | | U.S. | PATENT DOCUMEN | ITS | | |
|----------------------|--------------------------|--|---|--|---|-------------------------------------|
| EXAMINER INITIALS | REFERENCE DESIGNATION | DOCUMENT NUMBER | DATE | NAME | FILING E APPROP | |
| | AA | 6,274,791 | 08/2001 | Dhir et al. | | |
| | AB | 5,750,870 | 05/1998 | Mathews et al. | | |
| | AC | 6,162,965 | 12/2000 | Hansen | | |
| | AD | 5,856,154 | 01/1999 | Ryals et al. | | |
| | AE | 4,801,545 | 01/1989 | Stuart et al. | , | |
| | AF | 4,891,316 | 01/1990 | Verrips et al. | | |
| | AG | 2002/0004944 | 01/2002 | Baker et al. | | |
| | • | FOREIG | N PATENT DOCUM | ENTS | - | |
| | | D0CUMENT | | | TRANSL | ATION |
| | | NUMBER | DATE | COUNTRY | YES | NO |
| | AH | 0 307 841 | 03/1989 | EP | | |
| | ОТ | HER ART (Includ | ing Author, Title, Date | e, Pertinent Dates, Etc.) | | |
| | AI | | | Strawberry by Agrobacterium Toorts (1990) Vol. 9, pp 293-298 | umefaciens U | sing a |
| | AJ | | | Transformation of the Cultivated Vectors." <i>Plant Science</i> (1990) V | | |
| | | | | co Osmotin Has Specificity and | Involves Plasr | na |
| | AK | Membrane Permeabili | zation." Plant Science | (1996) Vol. 118, pp 11-23 | | |
| | AK AL | de Mesa, M., et al. "A | grobacterium Cells as | Microprojectile Coating: A Nov. Aus. J. Plant Physio. (2000) Vo | | Enhar |
| | | de Mesa, M., et al. "A Stable Transformation Dolgov, S.V., et al. "E | grobacterium Cells as Rates in Strawberry.' Expression of Thaumat | Microprojectile Coating: A Nov | l. 27, pp 1093- | Enhai |
| | AL | de Mesa, M., et al. "A Stable Transformation Dolgov, S.V., et al. "E Genetics and Breeding du Plessis, H., et al. "I | grobacterium Cells as a Rates in Strawberry. Expression of Thaumat g for Crop Quality and | Microprojectile Coating: A Nov Aus. J. Plant Physio. (2000) Vo in II Gene in Horticultural Crops | ol. 27, pp 1093- c." | Enhar 1100 |
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